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	Application Number	09/782,587	
FETRANSMITTAL	Filing Dat	February 12, 2001	
I, 1820 FORM	First Named Inventor	Anders Hjelholt Pedersen	
(to be used for all correspondence after initial filir	g) Group Art Unit	1645 COPY OF ORIGINA	
TO THE MANUFACTURE OF THE PARTY	Examiner Name	Unassigned ———	
Total Number of Pages in This Submission	Attorney Docket Number	31-001100US	
ENCLOSURES (check all that apply)			
	esponse to Notice of complete Reply	After_Allowance_Communication to Group	
Fee Attached X s	quence Listing w/ diskette	Appeal Communication to Board of Appeals and Interferences	
	atement to Support Filing of equence Listing	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final P	tition Routing Slip (PTO/SB/69) d Accompanying Petition	Proprietary Information	
Amadrico decidi acion(o)	tition to Convert to a ovisional Application	Status Letter	
Extension of Time Request   C	wer of Attorney, Revocation lange of Correspondence dress	X Additional Enclosure(s) (please identify below):	
Express Abandonment Request	rminal Disclaimer nall Entity Statement	Copy of Notice of Incomplete Reply and receipt acknowledgment postcard	
Information Disclosure Statement	equest for Refund		
Document(s) Please ch	tion to Charge Deposit Account arge Deposit Account No. 50-0893 for or during the pendency of this applic eration of the documents enclosed.	or any additional fees associated with cation, including any extensions of time	
Response to Missing Parts under 37 CFR 1.52 or 1.53	rks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Jonathan Alan Quine, Reg. No. 41,261, The Law Offices of Jonathan Alan Quine Individual name			
Signature Duis Al Qui			
Date November 9, 2001			
CERTIFICATE OF MAILING			
I hereby certify that this correspond nce is being deposited with the United Stat's Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 9, 2001			
Typed or printed name Juliana Hermes			
Signature Allama 4	Qm Date	November 9, 2001	



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## United States Patent and Trademark Office

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APPLICATION NUMBER

FLANG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/782,587

12/2001

Anders Hjelholt Pedersen

31-001100US

**CONFIRMATION NO. 9481** 

22798

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ALAMEDA, CA 94501

N QUINE

FORMALITIES LETTER

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OCT 1 6 2001

LAW OFFICES OF JONATHAN ALAN QUINE

Date Mailed: 10/09/2001

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

COPY OF PAPERS ORIGINALLY FILED

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 07/06/2001 to the Notice to File Missing Parts (Notice) mailed 05/09/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

 A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- □ For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- □ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center



Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231, on November 9, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

Juliana Hermes

Attorney Docket No. 31-001100US Client Ref. No. 0212us003

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unassigned

INCOMPLETE REPLY

RESPONSE TO THE NOTICE OF

Art Unit: 1645

In re application of:

Anders Hjelholt Pedersenet al.

Application No.: 09/782,587

Filed: February 12, 2001

For: FACTOR VII OR VIIA-LIKE MOLECULES

Assistant Commissioner for Patents

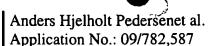
Washington, D.C. 20231

Sir:

This response is in response to the Notice of Incomplete Reply sent October 9, 2001 and having a period for reply as set forth in the original notice (i.e., a due date of **July 9**, 2001).

Accompanying this response is a corrected sequence listing that addresses the minor formal errors noted by the STIC report. As such, this response is fully responsive to the Notice of Incomplete Reply.

Applicants note, however, that the Notice of Incomplete Reply is completely inappropriate under the circumstances of the case. Specifically, even if the STICs reported errors are, in fact, errors in the listing they are clearly minor and formal in nature, i.e., merely the alleged omission of a descriptor field on one of the sequences. Accordingly, Applicants response to the Initial Notice of Missing Parts, which was timely filed on July 6, 2001 was clearly both complete and bona fide. As such, the provisions of the MPEP § 2421.03 and 37 CFR § 1.135(c) clearly apply. That is, Applicants should have been given an extendible 1-month period for response to any deficiencies believed to exist in the listing and should **not** have to pay for a 4 month extension to the original period for response.



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Furthermore, Applicants note that it is clearly unfair for the Office to treat a minor technical error in a listing as an incomplete response, given that the Applicants have no control over how long the Office takes to discover or allege such an error. The provisions of the MPEP at 2421.03 were clearly designed to prevent abuse of discretion by the Office in precisely this situation, i.e., in a manner that potentially costs the Applicant an expensive four month extension fee in a situation where they had no control over the time the Office required to take action on the case at issue.

Because the original response was clearly both complete and Bona-Fide, the Notice of Incomplete Reply was clearly improper and should be treated as a simple Notice to Correct Errors in the Listing pursuant to the MPEP § 2421.03, with a due date of November 9, 2001. As such, the present response is timely and fully responsive and no extension of time should be required for consideration of the response.

## CONDITIONAL PETITION TO EXTEND THE PERIOD FOR RESPONSE

The foregoing notwithstanding, in the event it is determined that an extension of time is required in the present case, please extend the period for response through the present date under the provisions of 1.136(a) and charge deposit account number 50-0893 any fee which is due.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D.

Reg. No. 41,261

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